


Name:			
Enrolment No:			
<b>UPES</b> <b>End Semester Examination, May 2024</b>			
<b>Course: Investment in Stock Markets</b> <b>Program: BCom-LLB (Hons.)</b> <b>Course Code: CLNL1042</b>		<b>Semester: 2</b> <b>Time : 03 hrs.</b> <b>Max. Marks: 100</b>	
<b>Instructions:</b>			
<b>SECTION A</b> <b>(5Qx2M=10Marks)</b>			
S. No.		Marks	CO
Q	Statement of question		
1	Discuss the benefits of Investments in Stock Market.	2	CO1
2	Define NSE.	2	CO1
3	Which of the following is a primary objective of SEBI? A) Maximizing shareholder profits B) Minimizing market volatility C) Protecting the interests of investors D) Controlling inflation	2	CO1
4	Analyze the factors influencing decisions on when and where to invest in the stock market.	2	CO1
5	What is the primary function of a stock exchange? A) Regulating monetary policy B) Facilitating the trading of securities C) Issuing government bonds D) Setting interest rates	2	CO1
<b>SECTION B</b> <b>(4Qx5M= 20 Marks)</b>			
	Statement of question		
6	Describe the function of brokers within the stock exchange.	5	CO2
7	Analyze the factors influencing decisions on when and where to invest in the stock market.	5	CO2
8	Discuss the benefits of Investments in Stock Market.	5	CO2
9	Define BSE	5	CO2

<b>SECTION-C</b> <b>(2Qx10M=20 Marks)</b>																																							
	Statement of question																																						
10	Outline the initial steps one should take as a beginner to start trading in the stock exchange, considering in selecting a suitable discount broker	<b>10</b>	<b>CO3</b>																																				
11	X Ltd., has a current ratio of 3.5:1 and quick ratio of 2:1. If excess of current assets over quick assets represented by inventories is Rs. 24,000, calculate current assets and current liabilities.	<b>10</b>	<b>CO3</b>																																				
<b>SECTION-D</b> <b>(2Qx25M=50 Marks)</b>																																							
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12	Imagine you're guiding a group of students interested in understanding financial markets. Describe the Bombay Stock Exchange (BSE) and elucidate its functioning. Provide examples to illustrate how the BSE facilitates the buying and selling of securities, influences market trends, and supports investment activities in India's financial landscape.	<b>25</b>	<b>CO4</b>																																				
13	<p>Calculate Liquidity and Solvency Ratios from the given information.</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;"><b>Liabilities</b></th> <th style="text-align: right;"><b>Amount</b></th> <th style="text-align: left;"><b>Assets</b></th> <th style="text-align: right;"><b>Amount</b></th> </tr> </thead> <tbody> <tr> <td>Long term debt</td> <td style="text-align: right;">5,00,000</td> <td>Cash and Bank</td> <td style="text-align: right;">90,000</td> </tr> <tr> <td>Shareholder's funds</td> <td style="text-align: right;">1,50,000</td> <td>Marketable Securities</td> <td style="text-align: right;">50,000</td> </tr> <tr> <td>Reserves and Surplus</td> <td style="text-align: right;">1,00,000</td> <td>Bills Receivables</td> <td style="text-align: right;">1,00,000</td> </tr> <tr> <td>Creditors</td> <td style="text-align: right;">1,00,000</td> <td>Inventory</td> <td style="text-align: right;">1,00,000</td> </tr> <tr> <td>Outstanding Expenses</td> <td style="text-align: right;">40,000</td> <td>Plant and Equipment</td> <td style="text-align: right;">2,50,000</td> </tr> <tr> <td></td> <td></td> <td>Building</td> <td style="text-align: right;">2,00,000</td> </tr> <tr> <td></td> <td></td> <td>Advance Tax</td> <td style="text-align: right;">1,00,000</td> </tr> <tr> <td></td> <td style="text-align: right;"><b>8,90,000</b></td> <td></td> <td style="text-align: right;"><b>8,90,000</b></td> </tr> </tbody> </table>	<b>Liabilities</b>	<b>Amount</b>	<b>Assets</b>	<b>Amount</b>	Long term debt	5,00,000	Cash and Bank	90,000	Shareholder's funds	1,50,000	Marketable Securities	50,000	Reserves and Surplus	1,00,000	Bills Receivables	1,00,000	Creditors	1,00,000	Inventory	1,00,000	Outstanding Expenses	40,000	Plant and Equipment	2,50,000			Building	2,00,000			Advance Tax	1,00,000		<b>8,90,000</b>		<b>8,90,000</b>	<b>25</b>	<b>CO4</b>
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